Revision:

HCFA-PM-92-3 APRIL 1992 (HSQB)

ATTACHMENT 4.40-B

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

## **ELIGIBILITY CONDITIONS AND REQUIREMENTS**

## Process for the Investigation of Allegations of Resident Neglect and Abuse and Misappropriation of Resident Property

The State has in effect the following process for the receipt and timely review and investigation of allegations of neglect and abuse and misappropriation of resident property by a nurse aide or a resident in a nursing facility or by another individual used by the facility in providing services to such a resident.

Allegations of neglect, abuse and misappropriation of property are reported to the Minnesota Office of Health Facility Complaints. The Office's staff investigate allegations through on-site visits and interviews with residents, family and staff to make a determination determinations.

If the allegation allegations are substantiated, Minnesota state law sets forth a procedure to permit the nursing assistant nurse aide to appeal the determination. The nursing assistant nurse aide is notified of the determination by certified mail and has 30 days to file an appeal. If an appeal is filed, a hearing is conducted by the Minnesota Office a Department of Administrative Hearings Human Services appeals referee. The hearing must be held within 60 days of the appeal. The Office of Administrative Hearings appeals referee issues a report to the commissioner of Health, who makes the final determination. A copy of the determination is mailed to the nursing assistant nurse aide. If the Department's findings of abuse, neglect or misappropriation of property are upheld, notice this information is given to placed in the Nursing Assistant Nurse Aide Registry.

If the nursing assistant nurse aide chooses not to appeal the department's findings, the Nursing Assistant Nurse Aide Registry is notified immediately.

Pursuant to §1919(g)(1)(D) of the Act, if there is a finding of neglect, the Department must permit the nurse aide to petition to have his or her name removed from the Nurse Aide Registry upon a Department determination that the employment and personal history of the individual does not reflect a pattern of abusive behavior or neglect, and the neglect involved in the original finding was a singular occurrence. However, such a determination may not be made before the expiration of the one-year period beginning on the date on which the name of the individual was added to the Nurse Aide Registry due to neglect, abuse or misappropriation of resident property.

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